

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Application of

Alpine Partners (BVI), LP  
FourWorld Special Opportunities Fund, LLC  
QVT Family Office Fund, LP

Petitioners, for an Order Pursuant to 28 U.S.C. § 1782 to  
Conduct Discovery for Use in a Foreign Proceeding.

Case No. 21-mc- 496

**ORDER TO SHOW CAUSE**

Upon the papers annexed to this Order to Show Cause, including the Application for an Order of Judicial Assistance Pursuant to 28 U.S.C. § 1782 (the “Application”), the Memorandum of Law in Support of Petitioners’ Application for an Order Pursuant to 28 U.S.C. § 1782, and the Declarations of Rocco Cecere, Esquire and Burt M. Rublin, Esquire, it is hereby:

ORDERED that Respondents Duff & Phelps, LLC, Duff & Phelps Securities, LLC, and BofA Securities, Inc. (“Respondents”) show cause before this Court at Courtroom \_\_\_\_\_, United States Courthouse, \_\_\_\_\_, New York, New York 10007 on the \_\_\_\_ day of \_\_\_\_\_, 2021 at \_\_\_\_ [a.m./p.m.], or as soon thereafter as counsel can be heard, why an order, under 28 U.S.C. § 1782, should not be issued in favor of Petitioners: (i) granting the Application for discovery pursuant to 28 U.S.C. § 1782; (ii) authorizing Petitioners to take discovery from Respondents, by issuing the proposed subpoenas; and (iii) directing Respondents to comply with the subpoenas issued in this case in accordance with the Federal Rules of Civil Procedure and the Rules of this Court.

ORDERED that service of this Order to Show Cause on Respondents, together with copies of the papers in support thereof, via personal delivery to Respondents, on or before \_\_\_\_\_, 2021, be deemed good and sufficient service thereof; and it is further

ORDERED that copies of oppositions, if any, shall be filed with this Court and served upon Petitioners' counsel via the Court's ECF filing system and by email to Petitioners' counsel at [rublin@ballardspahr.com](mailto:rublin@ballardspahr.com) on or before \_\_\_\_\_, 2021. Reply papers, if any, shall be filed on or before \_\_\_\_\_, 2021.

Dated: \_\_\_\_\_, 2021  
New York, New York

SO ORDERED:

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UNITED STATES DISTRICT JUDGE